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PTO/SB/31 (09-04)

Approved for use through 07/31/2006. OMB Q651-0031  
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**NOTICE OF APPEAL FROM THE EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)  
11927/90

In re Application of D. Schmitz et al.

"Express Mail" mailing label number EV 316 041 709 US

Date of Deposit: October 24, 2006

Application Number  
09/896,061

Filed June 29, 2001

For Automated Execution System Having  
Participation

Art Unit  
3628

Examiner  
F. Poinvil

10/25/2006 HDEMESS1 00000072 231925 09896061

01 FC:1401 500.00 DA

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1)) \$ 500

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is  
Reduced by half, and the resulting fee is : \$ \_\_\_\_\_

☐ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director has already been authorized to charge fees in this application to a Deposit Account.  
I have enclosed a duplicate copy of this sheet.

☒ The Director is hereby authorized to charge any fees which may be required, or credit any overpayment  
to Deposit Account No. 23-1925. I have enclosed a duplicate copy of this sheet.

☐ A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not  
be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

☐ applicant/inventor.

☐ assignee of record of the entire interest.  
See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is  
enclosed. (Form PTO/SB/96)

☒ attorney or agent of record.  
Registration number 37,834

☐ attorney or agent acting under 37 CFR 1.34.  
Registration number if acting under 37 CFR 1.34. \_\_\_\_\_

Signature

Kent E. Genin  
Typed or Printed Name

312-321-4200  
Telephone number

October 24, 2006  
Date

☒ \*Total of 1 forms are submitted.